

Date: 27-04-2021

To,  
Listing Department,  
BSE Limited,  
P.J. Towers, Dalal Street,  
Mumbai 400001

**Subject:** Compliance Certificate- Regulation 40(1) read with Regulation 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulation, 2015 for the half year ended March 2021.

Dear Sir / Ma'am,

Pursuant to Regulation 40(10) read with Regulation 61(4) of the Securities Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulations 2015, please find the enclosed herewith Compliance Certificate issued under Regulation 40(9) of the aforesaid regulation as certified by G Akshay and Associates, Practicing Company Secretary for the Half year ended March 31, 2021.

Request you to kindly take the same on record.

**For Navi Finserv Private Limited**

**Dimple J Shah**  
**Company Secretary**  
**ACS No: A36349**


**CERTIFICATE PURSUANT TO REGULATION 61(4) READ WITH REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATION, 2015.**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021.**

I, the undersigned, have examined all relevant books, registers, share transfer deeds, memorandum of transfers, forms, papers and documents of **NAVI FINSERV PRIVATE LIMITED** (Formerly Known as Chaitanya Rural Intermediation Services Private Limited) (hereinafter referred to as the Company), bearing Corporate Identification Number U65923KA2012PTC062537, having registered office at No.145, 2<sup>nd</sup> Floor, Nr Square, 1<sup>st</sup> Main Road Sirsi Circle, Chamrajpet Bengaluru - 560018, Karnataka, India maintained by Registrars and Share Transfer Agents, NSDL Database Management Limited, having office at 4<sup>th</sup> Floor Trade World A Wingkamla Mills Compound Lower Parel (W) Mumbai, Maharashtra - 400013, India, pertaining to transfer of Debentures of the Company for the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 for the purpose of issuing a Certificate pursuant to Regulation 61(4) read with Regulation 40 (9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on such examination as well as information, representations and explanations furnished to me, I, hereby certify that,

1. Since all the Debentures issued by the Company are in Dematerialized form, no physical Debenture Certificates were required to be delivered during the period under report.
2. The company has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies, during the period under report.

Place: Bengaluru  
Date: 23.04.2021  
UDIN: F010967C000162382

  
Akshay. G  
Membership No. 10967  
COP No. 15584

**G AKSHAY**  
Practicing Company Secretary  
Mem. No.: F10967 | CoP No.: 15584  
**G AKSHAY & ASSOCIATES**